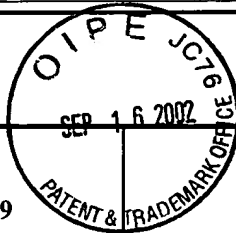


#4

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No. *W. Hansen*
5263 *9/19/02*

In Re Application Of: Hendricks, John

Serial No.
09/335,268Filing Date
June 17, 1999Examiner
N/AGroup Art Unit
2711

Title: Electronic Book with Information Manipulation Features

RECEIVEDAddress to:
Assistant Commissioner for Patents
Washington, D.C. 20231SEP 17 2002
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1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

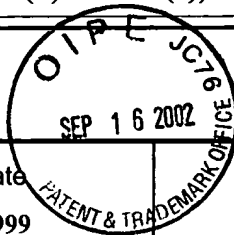
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
5263

In Re Application: Hendricks, John



Serial No.
09/335,268

Filing Date
June 17, 1999

Examiner
N/A

Group Art Unit
2711

Electronic Book with Information Manipulation Features

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

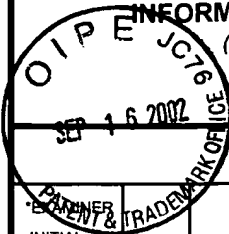
Signature

Dated: September 16, 2002

Sung Kim
Reg. No. 45,398
DORSEY & WHITNEY LLP
1660 International Drive
Suite 400
McLean, VA 22102
(703) 288-5240
(703) 288-5260 Facsimile

CC:

<div style="text-align: center;"> INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> </div>	ATTY DOCKET NO. 5263	SERIAL NO. 09/335,268
	John S. Hendricks	
	FILING June 17, 1999	GROUP 2711 2178



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	TRADEMARK OFFICE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,985,697	1/15/1991	Boulton	707	500.1	
		5,799,157	8/25/1998	Escallon	705	27	
		6,052,717	4/18/2000	Reynolds, et al.	709	218	
		4,855,725	8/8/1989	Fernandez	345	175	
		5,144,663	9/1/1992	Kudelski, et al.	380	230	
		5,986,690	11/16/1999	Hendricks	725	60	
		6,034,680	3/17/2000	Kessenich	345	733	
		5,093,718	3/3/1992	Hoarty, et al.	725	120	
		5,404,393	4/4/1995	Remillard	379	93.25	
		5,479,268	12/26/1995	Young, et al.	386	83	
		5,319,455	6/7/1994	Hoarty, et al.	725	34	

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EXAMINER INITIAL	TRADEMARK OFFICE	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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		WO 97/23819	7/3/1997	WIPO				
		WO 97/22110	6/19/1997	WIPO				
		WO 97/22067	6/19/1997	WIPO				
		WO 97/22065	6/19/1997	WIPO				
		WO 97/22102	6/19/1997	WIPO				

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Caitlin Bestler, "Flexible Data Structures and Interface Rituals for Rapid Development of OSD Applications", June 1993, Pages 223-236
			Alexander Gelman, et al., "An Architecture For Interactive Applications", May 1993, Pages 848-852

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	5,014,125	5/7/1991	Pocock, et al.	725	93	
	5,481,296	1/2/1996	Cragun, et al.	725	136	
	5,644,354	7/1/1997	Thompson, et al.	725	138	
	5,724,091	3/3/1998	Freeman, et al.	725	138	
	5,663,757	9/2/1997	Morales	725	5	
	5,793,414	8/11/1998	Shaffer	725	133	
	5,805,204	9/8/1998	Thompson, et al.	725	48	
	5,644,354	7/1/1997	Thompson, et al.	725	138	
	4,381,522	4/26/1983	Lambert	725	93	
	5,446,490	8/29/1995	Blahut, et al.	725	97	
	5,761,485	6/2/1998	Munyan	345	839	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	WO 97/22111	6/19/1997	WIPO				
	WO 97/22103	6/19/1997	WIPO				
	WO 97/22063	6/19/1997	WIPO				
	WO 97/22105	6/19/1997	WIPO				
	WO 97/22104	6/19/1997	WIPO				

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	Henrie van den Boom, "An Interactive Videotex System", Nov. - Dec. 1986, Pages 397-401
	Nicole Yankelovich, et al., "Reading and Writing the Electronic Book", October 1985, Pages 16-30

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	6,204,885	3/20/2001	Kwoh	348	564	
	5,477,262	12/19/1995	Banker, et al.	725	38	
	5,600,368	2/4/1997	Matthews, III	348	143	
	5,500,794	3/19/1996	Fujita, et al.	700	83	
	5,396,546	3/7/1995	Remillard	379	93.24	
	5,689,663	11/18/1997	Williams	345	721	
	5,206,929	4/27/1993	Langford, et al.	345	723	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/22109	6/19/1997	WIPO				
	WO 97/22112	6/19/1997	WIPO				
	WO 97/22079	6/19/1997	WIPO				
	WO 97/22049	6/19/1997	WIPO				
	WO 97/22100	6/19/1997	WIPO				

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		Craig Boyle, et al., "A Survey and Classification of Hypertext Documentation Systems", June 1992, Pages 98-111
		Thyra Rauch, et al., "Enabling the book metaphor for the World Wide Web: Disseminating on-line information as dynamic Web documents", June 1997

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 97/22099	6/19/1997	WIPO				
		WO 97/22097	6/19/1997	WIPO				
		WO 97/22064	6/19/1997	WIPO				
		WO 97/22108	6/19/1997	WIPO				
		WO 97/22101	6/19/1997	WIPO				

			Pasquier-Boltuck, et al., "Prototyping an Interactive Electronic Book System Using an Object-Oriented Approach", Augu 1988,
			Bogdan Czejdo, "Using an ER Query and Update Interface for Rapid Prototyping of Hypertext Systems", January 1990, Pages 227-236

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		WO 97/22080	6/19/1997	WIPO				
		WO 97/22106	6/19/1997	WIPO				
		WO 97/22062	6/19/1997	WIPO				
		WO 97/22107	6/19/1997	WIPO				
		JP 7230466 Abstract	8/29/1995	JP				

			Michael Bieber, et al., "Fourth Generation Hypermedia: some missing links for the World Wide Web", 1997, Pages 31-65
			Speech Technology; "Electronic Dictionary Pronounces Over 35,000 Words"; 1989; Pages 78-79

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CBP		WO 01/18665A1	3/15/2001	WIPO	Z			
CBP		EP0810534A2	12/3/1997	Europe				
CBP		EP0472070A2	2/26/1992	Europe				
CBP		EP0539106A2	4/28/1993	Europe				
		EP0299830A1	1/18/1989	Europe				

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CBP		WO 93/22877	11/11/1993	WIPO	Z			
CBP		EP0420123A2	4/3/1991	Europe				
CBP		WO 95/15649	12/2/1994	WIPO				
CBP		WO 99/26415	11/9/1998	WIPO				
CBP		WO 96/25006	8/15/1996	WIPO				

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